

DT15 d PCT/PTO 09 SEP 2004

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: 520.1045

SERIAL NO.: To Be Assigned

10/507179

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Ralf WIDERA et al.

FILING DATE: Herewith

GROUP: To Be Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
WTR	AA	6	1	0	8	7	8	2	Aug. 22, 2000	Fletcher et al.	713	153	
WTR	AB	5	7	5	1	8	6	4	May 12, 1998	Ordanic et al.	395	200.54	
WTR	AC	20	04	00	24	5	5	0	Feb. 5, 2004	Doerken et al.	702	78	
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
WTR	AJ	1	1	4	6	8	8	7	Oct. 17, 2001	EP-Europe			in English	
WTR	AK	1	0	3	9	8	9	1	Sept. 27, 2000	EP-Europe			in English	
	AL	1	0	1	2	8	9	27	Jan. 18, 2003	DE-Germany			Abstract; discussed in specification	
	AM	1	0	0	4	8	2	48	Mar. 28, 2002	DE-Germany			corresponds to US 2004/0024550	
	AN													
	AO													
	AP													
	AR													
	AS													

No Translation

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AT	S. WALDBUSSER: "RFC 1757 - Remote Network Monitoring Management Information Base"; RFC - Request for Comments, 1 February 1995; retrieved from the Internet; <URL:http://www.iatf.org/rfc/rfc1757.txt>; pp. 1-81
----	--

EXAMINER

WTR Jan F.

DATE CONSIDERED

2/6/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete If Known	
				Application Number	10/507,179-Conf. #4000
				Filing Date	February 25, 2005
				First Named Inventor	Ralf Widera
				Art Unit	2154
				Examiner Name	J. A. Follansbee
Sheet	1	of	1	Attorney Docket Number	20811/0204769-USO

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
<i>intf</i>	AA*	US-20010021178-A1	09-13-2001	Mimura et al.	
<i>intf</i>	AB*	US-5,649,107	07-15-1997	Kim et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
<i>autf</i>	BA	WO-98/47308	10-22-1998	British Telecommunications Public Ltd. Company		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	<i>Alan Jan L.</i>	Date Considered	2/6/07
-----------------------	--------------------	--------------------	--------